

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
C005

In Re Application Of:

EDWARDS, ROGER

JAN 10 2002

Serial No.

Filing Date

Examiner

Group A&B Unit

Title: **THE COPY PROTECTION OF DIGITAL AUDIO COMPACT DISCS**

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Address to:

Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 13-0762 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____)

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I certify that this document and fee is being deposited on 1/24/01 with the U.S. Postal Service as ~~first class~~ ^{EXPRESS} mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231. Label E1337672229US

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Frank Nguyen
Signature

Dated: 01/24/01

FRANK NGUYEN
REG. # 39,790

CC:

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

JAN 10 2002

PATENT & TRADEMARK OFFICE

Docket Number (Optional)

C005

Application Number

Applicant(s)

EDWARDS, ROGER

Filing Date

Group Art Unit

U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | REF | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|----------------------|-----|-----------------|---------|---------|-------|----------|-------------------------------|
| | | 5418852 | 5/23/95 | ITAMI | | | 3/17/93 |
| | | 5596639 | 5/21/97 | KIKINIS | | | 1/30/95 |
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FOREIGN PATENT DOCUMENTS

| | REF | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | Translation | |
|--|-----|-----------------|----------|---------|-------|----------|-------------|----|
| | | | | | | | YES | NO |
| | | WO98/52194 | 11/19/98 | PCT | | | | |
| | | EP0984346 | 3/8/00 | EPO | | | | |
| | | 62111321 | 5/22/87 | JAPAN | | | ✓ | |
| | | 11003568 | 1/6/99 | JAPAN | | | ✓ | |
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.